



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: LEE ET AL.  
Serial No.: 09 909,488  
Filed: JULY 20, 2001  
Due Date: OCTOBER 20, 2001  
Title: NANOFILTRATION WATER-SOFTENING APPARATUS AND METHOD

Examiner: UNKNOWN  
Group Art Unit: 1723  
Docket: 12897.10US01

CERTIFICATE UNDER 37 CFR 1.8 The undersigned hereby certifies that this correspondence and the paper(s), as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231, on October 11, 2001

By: [Signature]  
[Signature]

Commissioner for Patents  
Washington, D.C. 20231

Sir:

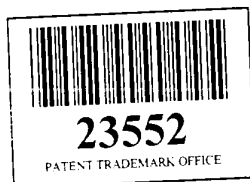
We are transmitting herewith the attached:

- ☐ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☐ Information Disclosure Statement, Form 1449, 40 Reference(s)
- ☐ Return postcard

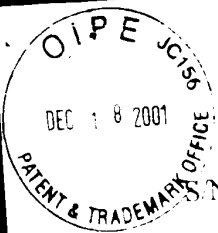
Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.  
P.O. Box 2903, Minneapolis, MN 55402-0903  
612.332.5300

By: [Signature]  
Name: Daniel M. Pauly  
Reg. No. 40,123  
DPauly:PSTkaw



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PATENT

S.N 09/909,488

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By: *[Signature]*INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application, which is not an application under 37 C.F.R. § 1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not

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represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

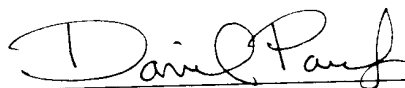
Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, Minnesota 55402-0903  
(612) 332-5300

Date: \_\_\_\_\_

10/19/01



Daniel M. Pauly  
Reg. No. 40,123  
DMP:PSTkaw

